

# CONFIRMATION OF VERIFICATION OF IDENTITY

## PRIVATE INDIVIDUAL

### INTRODUCTION BY AN FSA-REGULATED FIRM

#### 1. DETAILS OF INDIVIDUAL CUSTOMER (see explanatory notes below)

<b>Full name of Customer</b>	
------------------------------	--

<b>Current Address (incl Post Code)</b>		Previous address if individual has changed address in the last three months
---	--	---

<b>Date of Birth</b>	
----------------------	--

#### 2. CONFIRMATION

I/we confirm that

- (a) the information in section 1 above was obtained by me/us in relation to the customer;
- (b) the evidence I/we have obtained to verify the identity of the customer:  
*[tick only one]*

meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG ; or	<input type="checkbox"/>
exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).	<input type="checkbox"/>

<b>Signed:</b>	
<b>Name: (CAPITALS)</b>	
<b>Position:</b>	
<b>Date:</b>	

#### 3. DETAILS OF INTRODUCING FIRM (OR SOLE TRADER)

<b>Full Name of Regulated Firm (or Sole Trader):</b>	
<b>FSA Reference Number:</b>	

**Explanatory notes**

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
  - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
  - those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations; or
  - those whose identity has been verified using the source of funds as evidence.
3. This confirmation must carry an original signature, or an electronic equivalent.